

To: Commissioner of Patents and Trademarks Washington, D.C. 20231

Fr: George O. Saile

Reg. No. 19,572

20 McIntosh Drive

Poughkeepsie, N.Y. 12603

Subject:

Divisional Patent Application of

Serial No.: 09/292,360 4/15/99

YA-FEN LIN, CHANG-SONG LIN, CHIA-TA HSIEH,

HUNG-CHENG SUNG, JUANG-KE YEH

A METHOD TO FABRICATE A NON-SMILING EFFECT

STRUCTURE IN SPLIT-GATE FLASH WITH

SELF-ALIGNED ISOLATION

PRELIMINARY AMENDMENT

Dear Sir:

This is a preliminary amendment for the above referenced Divisional Patent

Application. Please amend the above identified application for patent as follows:

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on October 25, 2001.

Stephen B. Ackerman, Reg. No. 37,761

Signature/Date

10/25/01